

OUTLINE

FDP - FEDERAL DEMONSTRATION PARTNERSHIP

1 - FDP Information

<http://thefdp.org/>

- A formal collaboration between federal agencies and research institutions
- Cooperative initiative among Fed agencies and Inst recipients of Fed funds
- 10 Fed Agencies, 110 Institutional Recipients (UVA...), & 5 Affiliate Members (GOGR)
- Streamline administrative process and minimize administrative burden
- Simplified & streamlined terms & conditions across FDP member Federal agencies
- **Purpose:** Reduce administrative burdens w/Research Grants
- Maintain effective stewardship of federal funds
- Enable researchers to do science

3 - FDP IV GENERAL TERMS & CONDITIONS

http://www.nsf.gov/home/grants/grants_fdp.htm

- General - definitions
- Pre-Award Requirements
- Financial and Program Management
- Property Standards
- Procurement Standards
- Reports and Records
- Termination and enforcement
- Closeout Requirements

2- Background on FDP what it is

http://www7.nationalacademies.org/fdp/About_FDP.html

Member Make-up; FDP V is now open for membership application; Mission, etc

4 - FDP PRIOR APPROVAL & OTHER REQUIREMENTS MATRIX/April 2004

Prior Approval Required of all agencies:

- Change in scope
- Absence (more that 3 months) or change of PI
- Need for additional funding
- pre-award cost (more that 90 days)
- subsequent no-cost extension or extention of more that 12 months
- Transfer of funds between construction and non-construction
- Acquire real property....

**** Matrix of Prior Approval Issues:**

<http://www.nsf.gov/pubs/fdp/fdpmatrix.xls>

5 - FDP IV OPERATING PROCEDURES

- Use of FDP T & C: 1st Federal statute, then a Special Condition of Award, then the FDP Agency-Specific Requirements, then the FDP General Terms and Conditions
- Authority - consistent w/OMB Cir A-21, A-110....
- Definitions
- Agency-Specific Requirements **
- Subrecipient Provisions - from FDP participating inst - to other FDP part. Inst...under FDP T&C
 - to non-FDP - subject to T&C Appx A...
 - "Provisions Related to Subgrants Made under FDP Awards"

6 - SIDE-BY-SIDE OF A-110 AND FDP Ts & Cs

- OMB Circular A-110 vs FDP Implementation of Federal Management Circulars

7 - FDP AGENCY SPECIFIC REQUIREMENTS

| | | | |
|--------------|--|--------|------------------------------------|
| 1 AFOSR | Air Force Office Scientific Research | 6 EPA | Environmental Protection Agency |
| 2 AMRMC | Army Medical Research & Material Command | 7 NASA | National Aeronautics & Space Admin |
| 3 ARO | Army Research Office | 8 NIH | National Institutes of Health |
| 4 DOA (USDA) | Department of Agriculture | 9 NSF | National Science Foundation |
| 5 DOE | Department of Energy | 10 ONR | Office Naval Research |

8 - EXPANDED AUTHORITY vs FDP

DHHS - PHS Agencies - NIH

What is Expanded Authority?

- **Waiver of prior approval requirement by by sponsoring agency**
- providing awards which allow significant **flexibility** on the part of the academic institution being funded
- many larger universities or institutions, that did not join under FDP, but were permitted the same flexibility know as "expanded authorities"
- **Unless specifically mentioned in a standard grant agreement received from a federal agency, colleges & universities should:**
 - **be award of the authority for expanded authorities**
 - **raise the possibility of such use in their individual awards**

****** The expanded authorities are automatically in force for grants and cooperative agreements that support research.**

Allowed changes without prior approval:

1. One time ONLY No-Cost Extension for up to 12 months
2. Line ^{item} budget reallocation (within original scope of work).
Does not apply to changes in fellowship, stipends, subcontracts, capital construction, F&A rates, scope of work, or change of PI.
3. Pre award set-up and costed limited to 90 days prior to start date of award.

Prior Approvals still in effect:

- permissions for changes in project scope or objectives
- changes in key personnel
- approval for absence of the PI for more than 3 months
- 25% reduction in the time for PI
- need for additional funding
- other approvals as perscribed in OMB Cir A-110

Prior Approval = "written approval by an authorized official evidencing prior consent"

Prior = this approval must be obtained before actions have been undertaken

Authorized official = person who has the authority to commit the awarding agency to a course of action

<http://www.cof.orst.edu/cof/account/Expanded%20Authority.htm>

http://grants.nih.gov/grants/policy/nihgps_2003/NIHGPS_Part7.htm

DHHS
(Dept of Health & Human Services)

PHS
Public Health Services

Agencies:

- Admin for Children & Families (ACF)
- Admin on Aging (AoA)
- Agency for Healthcare Research & Quality (AHRQ)
- Agency for Toxic Substances & Disease Registry (ATSDR)
- Centers for Disease Control & Prevention (CDC)
- Centers for Medicare & Medicaid Services (CMS)
- Food and Drug Admin (FDA)
- Health Resources and Services Admin (HRSA)
- Indian Health Service (IHS)
- **National Institute of Health (NIH)**
- Substance Abuse & Mental Health Services Admin (SAMHSA)

FDP Grants:

Under Authority of:

- FDP Terms & Conditions

Non-FDP Grants:

- Expanded Authority or
 - Grants Policy Statements
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NOT CONTRACTS