Research Administration Forum
Systems, Data, Information, Analytics

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Research Administration Forum
Systems, Data, Information, Analytics

- In our world, this is called “Electronic Research Administration”, or “ERA”
- Peer OSP offices have teams dedicated to ERA; communities and organizations are set up at the national level to work on ERA issues in direct collaboration with federal agencies
- For a variety of reasons, we need to be in the game
  - Efficiency
  - Compliance
  - Competitiveness
  - Business intelligence/strategic decisionmaking
  - Better feedback loops with daily operations as well as periodic internal and external audits
  - Better understanding of and anticipating our customers
- OSP cannot do it alone; we have key institutional partners in this (e.g., Organizational Excellence, Managerial Reporting, Internal Audit)
- UVA is currently ranked #3 - I miss #1

- Our largest sponsor, the federal government is ahead of us...
  - http://www.healthdata.gov
  - https://www.acus.gov/best-practices/about/
  - http://www.dhs.gov/fisma
Electronic Research Administration
ResearchUVa - a great start

- Important recent addition to the Electronic Research Administration Environment at UVA
- What is it?
  - A data portal, which will be phased into production
  - “Paperless” document repository
  - Accessible, transparent platform for information
- What faculty have said:
  - “But I don’t have any deficits...ooops!”
  - “I love it!”
  - “I’m hooked.”
  - “I would like to name my firstborn after you.”
Want to show you a very preliminary view of the next phase......remember this is TEST...
Post Award Administration
We need your help!!

- **Issues we are encountering on a regular basis:**

  1. PO’s - really need them to be managed
  2. Deficits --- really need them cleared timely
  3. FFR’s memos --- need to start the review and cleanup with the 1st memo -- need the checklist to be complete and action items done before you sign and send back and we need them back timely (before report due)-- Received a verbal finding from APA on late reports - cannot wait anymore
  4. Subcontractor invoices --- PI should approve; payments tracked so don’t pay twice; finals in house by the 60 day due date
Post Award Administration
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**Issues we are encountering on a regular basis (continued 😞):**

5. Cost Share - must be tracked and monitored to insure our obligations are met
6. Recons - when done thoroughly and timely, should alleviate problems - charges should be allowable and accurate
   - Cost Transfers and LD adjustments - should not really be occurring in last 90 days -- why are these necessary? Why are they late? Volume is just too high
7. Audits - now sponsors are calling them “reviews” they are audits folks and need to be coordinated by OSP, contact Vonda Durrer
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▶ Help us help you

▶ What obstacles are you encountering?
▶ From your perspective what is causing the delays?
▶ How can we improve our communications?
▶ Finance Outreach and Compliance (FOC) - need training contact FOC
  ▶ http://foc.virginia.edu/
Post Award Administration
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Listserves - reminder
- Ospnoa - for all sponsor documents you receive that need to come to OSP or for items you need OSP to process (SP23’s)
- Osp-postaward - to communicate with my team, ask questions about invoicing, reporting, award setup etc.
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► **Deficits**

► Will be moving the monitoring of these to the school Dean’s offices to manage. What this means:
  • OSP will send last set of deficit letters in January to show December 2015 deficits
  • School’s Dean’s offices will be monitoring going forward
  • OSP will move to any deficit holding up a financial report to the departmental FA award
  • Deficits will cause invoices NOT to generate - if this causes non-payment issues, department will be responsible
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➤ GB/GC Conversions - is complete 😊

➤ Remember, this takes effect 10/1/2015. If you receive a new award ON or AFTER this date, it will be put into a GB award prefix account not a GC account. Therefore, that new year’s start date on the NOA that prompted the GB conversion, is the start date you should use to schedule labor or AP/PO items for the GB account. A new OSP NOA will be distributed once the sponsor NOA is received and the account is setup in the integrated system (Oracle). Also, remember that we cannot file a FFR for the mini-competitive segment, if you are over budget based on what HHS has awarded you. This is the only case you will need to move expenditures. See the example below:

➤ 5 year R01 award is given to PI in the amount of $500,000 ($100,000 each year) and the award dates are 11/01/2013 thru 12/01/2018

➤ Year 1 is 11/01/13 thru 12/01/14 ----- GC1xxxx/12345

➤ Year 2 is 11/01/14 thru 12/01/15 ----- GC1xxxx/12345 -- this will be transitioned from the GC to the GB --- we will have to file a one-time FFR for this "mini-competitive segment" - expenditures cannot exceed $200,000, if they do, expenditures will need to be moved from Year 2 to Year 3 account. If they do not, and there is excess funding, OSP personnel will move the “carryover” funding that has been unspent to Year 3 account automatically.

➤ Year 3 is 11/01/15 thru 12/01/16 ----- GB1xxxx/12345 -- note this year is a GB account

➤ Year 4 is 11/01/16 thru 12/01/17 ----- GB1xxxx/12345

➤ Year 5 is 11/01/17 thru 12/01/18 ----- GB1xxxx/12345